

**APPENDIX A**  
**SWISS FINANCIAL INSTITUTION DEFENDANTS SUBMITTING EXTRACTS**

Ex.	Defendant Name	Case Name	Adv. Pro. No.
1.	Banca Arner S.A.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
2.	Banca Commerciale Lugano	<i>Fairfield Sentry Limited (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al.</i>	12-01185
3.	Edmond de Rothschild (Lugano) SA sued as Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
4.	Banca Unione di Credito	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
5.	Banco Santander International SA (f/k/a Banco Santander (Suisse) S.A.)	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Banco Santander (Suisse) S.A</i>	10-03509
6.	Bank J. Safra Sarasin AG, f/k/a Bank Sarasin & Cie	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Union Bancaire Privee, UBP SA et al</i>	10-03635; 10-03636
7.	Bank Julius Baer & Co. Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
		<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Bank Julius Baer and Co. Ltd., Zurich, a/k/a Bank Julius Baer and Co. SA</i>	11-01243
8.	Bank Vontobel	<i>Fairfield Sentry Ltd. ( In Liquidation) et al. v. Bank Vontobel AG et al.</i>	11-01760
9.	Banque Baring Brothers Sturdza SA (now known as Banque Eric Sturdza SA)	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Banque Baring Brothers Sturdza SA et al.</i>	12-01157
10.	Banque Cantonale Vaudoise	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
11.	Banque Pictet & Cie SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
		<i>Fairfield Sigma Ltd. (In Liquidation), et al. v. Pictet &amp; Cie, et al.</i>	10-03764

Ex.	Defendant Name	Case Name	Adv. Pro. No.
12.	Banque Piguet & Cie SA n/k/a Piguet Galland & Cie SA	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Banque Piguet &amp; Cie S.A. et al.</i>	10-03514
13.	Banque SYZ SA (f/k/a Banque Syz & Co. S.A.)	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Banque Syz &amp; Co. S.A. et al.</i>	10-03513
14.	Banque SYZ SA as successor to Royal Bank of Canada (Suisse) S.A.	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Royal Bank of Canada (Suisse) et al.</i>	10-04087
15.	Barclays Bank (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Barclays Bank (Suisse) SA et al.</i>	11-1259
16.	BBVA SA (f/k/a BBVA (Suiza) SA, a/k/a BBVA (Suisse) SA) (also sued as "BBVA Zurich/Shares")	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
		<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. FS/BBVA Zurich/Shares et al.</i>	11-01600
17.	Bipielle Bank (Suisse) in Liquidation	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Bipielle Banke (Suisse) et al.</i>	11-01568
18.	BNP Paribas (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
19.	BNP Paribas (Suisse) SA Ex Fortis (n/k/a BNP Paribas (Suisse) SA)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
20.	BNP Paribas (Suisse) SA Private (n/k/a BNP Paribas (Suisse) SA)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
21.	Bordier & Cie	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Bordier &amp; Cie et al.</i>	10-03873
22.	BSI AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
23.	BSI Ex Banca Del Gottardo	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
24.	CBH Compagnie Bancaire Helvetique SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
		<i>Fairfield Sentry Ltd. (In Liquidation), acting v. Banque SCS Alliance SA n/k/a Compagnie Bancaire Helvetique SA</i>	11-01256
25.	Citibank (Switzerland) AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Citibank (Switzerland) AG, et al.</i>	10-03640

<b>Ex.</b>	<b>Defendant Name</b>	<b>Case Name</b>	<b>Adv. Pro. No.</b>
		<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Zurich Capital Markets Company, et al.</i>	10-03634
26.	Clariden Leu Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
27.	Compagnie Bancaire Espirito Bancaire SA, a/k/a Banque Privée Espírito Santo S.A (in liquidation)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Zurich Capital Markets Company, et al.</i>	10-03634
		<i>Fairfield Sentry Ltd. ( In Liquidation) et al. v. FS/CBESSA et al.</i>	10-03756
28.	Corner Banca SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
29.	Coutts & Co AG (f/k/a RBS Coutts Bank, Ltd.)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
30.	CA Indosuez (Switzerland) S.A.	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. CA Indosuez (Switzerland) SA et al.</i>	11-01244
31.	Credit Suisse AG Zurich	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
32.	Deutsche Bank (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Deutsche bank (Suisse) SA Geneve et al.</i>	10-03745
33.	Deutsche Bank (Suisse) SA, Successor in Interest to Bank Sal. Oppenheim Jr. & Cie (Schweiz) AG	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Bank Sal. Oppenheim Jr. &amp; Cie (Schweiz) AG a/k/a Bank Sal. Oppenheim Jr. &amp; Cie</i>	11-02440
34.	Dresdner Bank Schweiz n/k/a LGT Bank (Switzerland) Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
35.	Edmond de Rothschild (Suisse) S.A. f/k/a Banque Privée Edmond de Rothschild SA, sued as Rothschild Bank Geneva (Dublin)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
36.	Edmond de Rothschild (Suisse) S.A. sued as Sella Bank	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
37.	EFG Bank a/k/a EFG Bank AG and/or EFG Bank SA	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. EFG Bank et al.</i>	10-03625
38.	EFG Bank S.A. Switzerland (n/k/a EFG Bank)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636

<b>Ex.</b>	<b>Defendant Name</b>	<b>Case Name</b>	<b>Adv. Pro. No.</b>
39.	EFG Private Bank S.A. (n/k/a EFG Bank)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Zurich Capital Markets Company, et al.</i>	10-03634
40.	Falcon Private Bank	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
41.	Finter Bank Zurich (n/k/a Bank Vontobel AG)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
42.	Société Générale Private Banking (Lugano-Svizzera) a/k/a FS/SG Private Banking Suisse SA	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. FS/SG Private Banking (Lugano-Svizzera) SA et al.</i>	11-01566
43.	Hapoalim (Switzerland) Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Bank Hapoalim (Suisse) Ltd. et al</i>	10-03510
		<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
44.	Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd.	<i>Fairfield Sentry Limited (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al.</i>	12-01185
45.	HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Guyerzeller Zurich, et al.</i>	11-01594
46.	HSBC Private Bank Suisse SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Private Bank Suisse SA, et al.</i>	10-03633
47.	InCore Bank AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
48.	ING Bank (Suisse) SA, as predecessor to Bank Julius Baer & Co. Ltd.	<i>Fairfield Sentry Ltd. ( In Liquidation) et al v. ING Bank (Suisse) SA et al.</i>	10-03801
49.	Investec Bank (Switzerland) AG	<i>Fairfield Sentry Ltd. (In Liquidation), acting v. Investec Bank (Switzerland) AG et al.</i>	12-01125
50.	Lloyds TSB Bank Geneva (now known as Lloyds TSB Bank, plc)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
51.	Lombard Odier Darier Hentsch & Cie n/k/a	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636

Ex.	Defendant Name	Case Name	Adv. Pro. No.
	Banque Lombard Odier & Cie S.A.	<i>Fairfield Sentry Ltd. ( In Liquidation) et al. v. Lombard Odier Darier Hentsch &amp; Cie et al.</i>	10-03795
52.	Merrill Lynch Bank (Suisse) SA	<i>Fairfield Sentry Ltd. ( In Liquidation) et al. v. Merrill Lynch Bank (Suisse) SA et al.</i>	10-03788
53.	Mirabaud & Cie SA (f/k/a Mirabaud & Cie a/k/a Mirabaud & Cie Banquiers Prives)	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Mirabaud &amp; Cie a/k/a Mirabaud &amp; Cie Banquiers Prives</i>	11-01257
54.	Rahn & Bodmer Banquiers (n/k/a Rahn+Bodmer Co.)	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Rahn &amp; Bodmer Banquiers et al.</i>	11-01581
55.	Rothschild & Co Bank AG. a/k/a Rothschild Bank AG Zurich (Dublin)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
56.	Schroder & Co. Bank AG	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Schroder &amp; Co. Bank AG et al.</i>	11-01249
57.	Société Générale Private Banking (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. SG Private Banking (Suisse) SA et al.</i>	10-03595
		<i>Fairfield Sentry Ltd. ( In Liquidation) et al. v. SG Private Banking (Suisse) SA et al.</i>	10-03786
58.	SIS SEEGANINTERSETTLE	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
59.	SIX SIS AG	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Six Sis AG/CH104026 et al.</i>	10-03869
60.	SIX SIS Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
61.	UBS AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636
		<i>Fairfield Sentry Ltd. ( In Liquidation), et al. v. UBS AG New York, et al.</i>	10-03780
		<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. UBS Fund Services (Ireland) Ltd., et al.</i>	11-01258
62.	Union Bancaire Privée, UBP SA (sued as ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635; 10-03636

Ex.	Defendant Name	Case Name	Adv. Pro. No.
63.	Wegelin & Co.	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. HSH Nordbank Securities S.A. et al.</i>	12-01555